



**APPLICATION FOR
FEDERAL FISHERIES PERMIT
FEDERAL PROCESSOR PERMIT**

United States Department of Commerce
National Oceanic and Atmospheric Administration
National Marine Fisheries Service
Alaska Region
P.O. Box 21668
Juneau, Alaska 99802-1668



BLOCK A - PERMIT AMENDMENT INFORMATION

If this is an application for an amended permit, provide your current Federal Fisheries Permit number or Federal Processor Permit number: _____

Check the item(s) below that have changed:

- ☐ Owner Information (Block B) ☐ Shoreside Facility Information (Block D)
☐ Vessel Information (Block C) ☐ Permit Information (Block E)

BLOCK B - OWNER INFORMATION

1. Owner Name(s)

2. Business Mailing Address (street or box, city, state, zip code)

3. SSN or Tax I.D. _____

4. Business Telephone Number

5. Business Fax Number

6. Business E-Mail Address

7. Managing Company Name (If any)

BLOCK C - VESSEL INFORMATION

1. Vessel Name

2. Homeport (city and state)

3. Coast Guard Number

4. ADF&G Number

5. ADF&G Processor Code
F - _____

6. Length Overall (ft) _____

7. Gross Tonnage _____

8. Shaft Horsepower

Registered Length (ft) _____

Net Tonnage _____

9. Is this vessel a vessel of the United States? ☐ Yes ☐ No (See pg. 3, Block C of instructions.)

BLOCK D - SHORESIDE FACILITY INFORMATION

1. Plant facility name at which owner in Block B operates

2. Physical location of facility (street, city, state, zip code)

3. Does the owner named in Block B, above, own this facility?
☐ Yes ☐ No

4. ADF&G Processor Code
F - _____

5. Business Telephone Number

6. Business Fax Number

7. Business E-Mail Address

BLOCK E - PERMIT INFORMATION**1. FISHERY AREAS**

Check one or both areas of operation, as appropriate:

☐ Gulf of Alaska Groundfish ☐ Bering Sea and Aleutian Islands Groundfish

2. OPERATION CATEGORIES

Indicate the type(s) of operation you conduct in the Federal groundfish fishery. The type(s) of operation chosen will determine whether a Federal Fisheries Permit or a Federal Processor Permit will be issued.

Check all operation types that apply:

- ☐ Catcher Vessel
☐ Catcher/Processor
☐ Mothership (operating in Federal waters)
☐ Mothership (operating in State waters, receiving groundfish from Federally permitted vessels)
☐ Tender Vessel

Or check:

☐ Support Vessel

Or check:

☐ Shoreside Processor (See pg. 3, Block E of instructions for definition.)

3. GEAR TYPES (Catcher Vessels and Catcher/Processors Only)

Check only the gear types used for groundfish fishing.

☐ Trawl ☐ Hook and line ☐ Pots ☐ Jig/troll

4. INSHORE / OFFSHORE (Gulf of Alaska Catcher/Processors And Motherships Only)

Choose the allocation component appropriate for the vessel designated in Block B, above. (See page 2 of instructions for detailed information.) Once the component is selected for the specific fishing year, that component may not be changed for that year. However, it may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of the new fishing year.

☐ Inshore ☐ Offshore

BLOCK F - SIGNATURE

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant name (please print or type)

Signature

Date



INSTRUCTIONS
Application for
Federal Fisheries Permit and Federal Processor Permit

PARTICIPATION IN THE GROUNDFISH FISHERIES IN THE FEDERAL WATERS OFF ALASKA REQUIRES VESSELS AND SHORESIDE PROCESSORS TO HAVE A FEDERAL FISHERIES PERMIT OR A FEDERAL PROCESSOR PERMIT PURSUANT TO 50 CFR 679.4(b) and (f). "GROUNDFISH" MEANS TARGET SPECIES AND THE "OTHER SPECIES" CATEGORY SPECIFIED AT 50 CFR § 679.20(A)(2).

A separate application must be completed for each vessel, company, or processing facility. For example, if a company conducts business at two facilities (whether or not the facilities are owned by the company), an application must be submitted for each. Please type or print legibly in ink and retain a copy of the completed application. Completed applications should be mailed to:

National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, Alaska 99802-1668.

Applications can be received via facsimile transmission at 907-586-7354. However, issued permits cannot be faxed - the original Federal Fisheries Permit **MUST** be on the vessel and the original Federal Processor Permit **MUST** be in the facility when conducting groundfish operations. Please call RAM at 907-586-7202 or 800-304-4846 (option #2) with any questions regarding this application.

BLOCK A - PERMIT AMENDMENT INFORMATION

Applicants must provide any changes to a currently-issued Federal Fisheries Permit or Federal Processor Permit in writing and must be received within 10 days from the effective date of the change. When using this form to submit any changes to the information originally provided on a Federal fishery or processor permit application, provide the current permit number in the designated area, mark the boxes that apply to the information that has changed, and provide the new information in the appropriate block(s).

BLOCK B - OWNER INFORMATION

Provide the information requested below regarding the owner of the vessel or the owner of the shoreside processing business.

1. Owner Name(s) - The full name(s) of the owner of the vessel or shoreside processing company whose business is conducted at the facility in Block D. If there is more than one owner, list the contact owner first. The permit will be issued to the first owner listed followed by "et al" to indicate there is more than one owner. The permit **MUST** be issued to the owners of the vessel or processing business, not to operators or lessees.
2. Business Mailing Address - A permanent business mailing address, including state and zip code. The issued permit will be mailed via U.S. First-Class Mail to the permanent mailing address, unless otherwise requested. To request the permit be mailed to a different address than what is stated on the application, an alternative address should be provided, in writing, and attached to the application.
3. Social Security Number or Tax Identification Number

Privacy Act Statement: Federal Regulations (50 CFR § 679) authorize but do not require collection of this information. The information is used to verify the identity of applicants and to accurately retrieve confidential records related to federal commercial fishery permits. When the requested information is a Social Security Number, disclosure is voluntary.

- 4-6. Business Telephone and Fax Numbers and E-Mail Address - The business telephone and fax numbers and e-mail address, if any, used by the owner of the vessel or processor. **Note:** It is important to provide a contact or message telephone or fax number to avoid delay in processing applications if any questions arise.
7. Managing Company - Name of any company (other than the owner) that manages the operations of the vessel or processor designated on the application.

BLOCK C - VESSEL INFORMATION

Provide the requested information regarding the vessel owned by the applicant identified in Block B.

1. Vessel Name - Full vessel name shown on the official documentation.
2. Homeport - The homeport (city and state) of the vessel as recorded in the official documentation.
3. Coast Guard Number - U.S. Coast Guard documentation number of the vessel (example: 566722) or its state registration number (example: AK3456C).
4. ADF&G Number - Five-digit, State of Alaska Department of Fish and Game number assigned to the vessel (example: 99999).
5. ADF&G Processor Code - Alaska Department of Fish & Game Processor Code if this application is for a Catcher/Processor, Mothership, or Shoreside Processor (example: F-00000).
6. Length Overall - The vessel's length overall in feet, which is defined as the horizontal distance, rounded to the nearest foot, between the foremost part of the stem and the aftermost part of the stern, excluding bowsprits, rudders, outboard motor brackets, and similar fittings or attachments. Registered Length - The registered length of the vessel as recorded in the official documentation.
7. Gross Tonnage - Gross tonnage as stated in the vessel's most current licensed survey. Net Tonnage - Registered net tonnage (U.S. tons) as recorded in the official documentation.
8. Shaft Horsepower - Shaft horsepower of the vessel.
9. Vessel of the United States - In order to qualify for a Federal Fisheries Permit or Federal Processor Permit, a vessel must be a "vessel of the United States." 50 CFR 600.10 defines a vessel of the United States to be:
 - (1) Any vessel documented under chapter 121 of title 46, U.S. Code;
 - (2) Any vessel numbered under chapter 123 of title 46, U.S. Code, and measuring less than 5 net tons;
 - (3) Any vessel numbered under chapter 123 of title 46, U.S. Code, and used exclusively for pleasure; or
 - (4) Any vessel not equipped with propulsion machinery of any kind and used exclusively for pleasure.

BLOCK D - SHORESIDE FACILITY INFORMATION

Provide the information requested below if the permit to be issued is for a Shoreside Processor.

1. Facility Name - The full name of the facility as described in official documentation.
2. Facility Location - The complete physical location of the facility. This should include street, city, state, and zip code.
3. Facility Owner - Indicate whether the facility is owned by the applicant listed in Block B or owned by a separate entity.
4. ADF&G Processor Code - Alaska Department of Fish & Game Processor Code if this application is for a Shoreside Processor (example: F-00000).
- 5-7. Business Telephone and Fax Numbers and E-Mail Address - The facility's business telephone and fax numbers and e-mail address, if any.

BLOCK E - PERMIT INFORMATION

1. **FISHERY AREAS.** Indicate the area(s) in which the applicant will be participating in the groundfish fisheries.
2. **GROUNDFISH OPERATIONS.** All vessels conducting groundfish operations and/or retaining groundfish while targeting non-groundfish in Federal waters off Alaska are required to obtain a Federal Fisheries Permit or a Federal Processor Permit. Groundfish operations include vessels a) fishing for groundfish; b) processing groundfish; c) tendering groundfish; d) assisting other groundfish vessels; and e) targeting halibut and retaining groundfish bycatch.
3. **VESSEL OPERATION CATEGORIES.** Indicate the type(s) of operations you conduct in the groundfish fishery. Check any combination of Catcher Vessel, Catcher/Processor, Mothership, and Tender Vessel. (A vessel permitted as a Catcher Vessel, Catcher/Processor, Mothership, and/or Tender Vessel may conduct all operations authorized for a Support Vessel.)

Vessel Definitions

Catcher Vessel - A vessel that is used for catching fish and that does not process onboard.

Catcher/Processor - A vessel that is used for catching fish and processing that fish.

Mothership (operating in Federal waters) - A vessel that receives, and processes groundfish received, from other vessels.

Mothership (operating in State waters) - A vessel that receives, and processes groundfish received, from Federally permitted vessels.

Tender Vessel - A vessel that is used to transport unprocessed fish received from another vessel to a Shoreside Processor, Mothership, or buying station.

Or check:

Support Vessel - Any vessel that is used in support of other vessels regulated under 50 CFR § 679, including, but not limited to, supplying a fishing vessel with water, fuel, provisions, fishing equipment, fish processing equipment or other supplies, or transporting processed fish. **Do not select this category if any other operational category has been selected.**

Or check:

Shoreside Processor - Any person or vessel that receives unprocessed fish, except Catcher/Processors, Motherships, Buying Stations, restaurants, or persons receiving groundfish for personal consumption or bait.

Processing is defined as the preparation of fish to render it suitable for human consumption, industrial uses, or long-term storage, including, but not limited to, cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting.

3. **GEAR TYPES.** (Catcher Vessels and Catcher/Processors Only.) Indicate the gear type(s) used for groundfish operations.

4. **INSHORE / OFFSHORE.** (GOA Catcher/Processors and Motherships Only.) GOA Pacific cod and GOA pollock are allocated to inshore and offshore components for quota management. Processed catch will be deducted from one of these allocations. Review the following information regarding the two components:

Vessels in the following categories are considered part of the inshore component:

- ◆ Any vessel that is less than 125 ft length overall and that processes up to and including 126 mt per week in round-weight equivalents of an aggregate of those fish; and
- ◆ Any vessel that processes those fish at a single geographic location in Alaska State Waters during a fishing year.

All other vessels are part of the offshore component.

One of the above components must be selected at this time, even if the allocated species are not expected to be processed. Once the component is selected for a fishing year, that component may not be changed for that year. However, it may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of the new fishing year. For more information on the inshore/offshore regulations, contact the Sustainable Fisheries Division at 907-586-7228.

BLOCK F - SIGNATURE

The applicant must sign and date the application certifying that all information set forth in the application is true, correct, and complete to the best of the applicant's knowledge and belief. The application will not be considered without the applicant's signature.

LOGBOOKS

A logbook will be sent with each Federal Fisheries Permit for each Vessel Operations Category that is checked. For example, if you check Catcher Vessel and Catcher/Processor, you will receive a Catcher Vessel Daily Fishing Logbook and a Catcher/Processor Daily Cumulative Production Logbook. The three exceptions that apply are:

1. Support Vessels do not receive logbooks.
2. Vessels under 5 net tons do not receive logbooks.
3. Catcher Vessels under 60 ft length overall do not receive Catcher Vessel logbooks.

A shoreside Processor logbook will also be sent with each Federal Processor Permit for groundfish issued to a Shoreside Processor or Mothership operating solely in State waters. All other Motherships will receive a Mothership logbook.

SPECIAL HANDLING OF PERMITS

Applications will be processed within 10 days from receipt by RAM. Therefore, RAM encourages applicants to apply well in advance of a groundfish opening to avoid conflict with fishing schedules. Applications can be received via facsimile transmission; RAM's fax number is 907-586-7354. Note, however, that issued permits cannot be faxed - the original Federal Fisheries Permit must be on the vessel and the original Federal Processor Permit must be in the facility when conducting groundfish operations.

Permits and log books will be sent to the applicant by way of U.S. First-Class Mail, unless otherwise requested by the applicant. Applicants have the choice of receiving permits and logbooks by utilizing other services by following the instructions set out below.

U.S. Postal Express Mail. Determine from the table below the weight and dimensions of the appropriate logbooks. Send with the application a return express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **Note:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail and the envelope or attached postage provided will be returned.

Other Express Carriers. Federal Express, UPS, DHL, etc., can be used by providing with the permit application a specific carrier (and carrier account number) or a prepaid express air bill and envelope of the applicant's choice.

A logbook is sent with most permits (see LOGBOOKS above for exceptions). Below are the approximate sizes and weights of the various logbook.

	Dimensions (inches)	Weight (lb.oz)
Catcher Vessel-Trawl Gear Logbook	11 x 12	2.25
Catcher Vessel-Longline and Pot Gear Logbook	12 x 14	3.25
Catcher/Processor-Trawl Gear Logbook	11 x 12	2.25
Catcher/Processor-Longline and Pot Gear Logbook	12 x 14	3.00
Mothership Logbook	11 x 12	2.25
Buying Station Logbook	11 x 12	2.25
Shoreside Processor Logbook	11 x 17	4.00

OTHER FISHERIES AND LICENSES

Salmon Power Troll - State of Alaska Interim Use and Limited Entry Power Troll licenses serve as a Federal permit. If neither of the licenses have been obtained, a Federal permit may be issued provided that, sometime during the years 1975-1977, the applicant a) operated a vessel in Federal waters off Alaska; b) engaged in commercial fishing for salmon from that vessel in Federal waters off Alaska; and c) landed salmon caught with power troll gear. If it is believed these conditions have been met, contact RAM at 907-586-7202 or 800-304-4846. Fish tickets or other landing receipts must be provided to show compliance with the above requirements.

IFQ Fisheries - An IFQ permit is required to catch and retain sablefish with fixed gear in Federal waters and Pacific halibut from IPHC Convention waters (the internal waters and territorial sea of Alaska and all Federal waters seaward of Alaska). Vessel owners must have a Federal Fisheries Permit to retain groundfish, including sablefish, in Federal waters. For more information on IFQ Permits, call RAM at 907-586-7202 or 800-304-4846 (option #2).

License Limitation Program - This program is scheduled to begin January 1, 2000. The LLP Program replaces the Vessel Moratorium Program which expires December 31, 1999. In addition to other Federal and State permits, an LLP License will be required in order to participate in federal groundfish and crab fisheries in most cases. The 90-day application period for this program is September 13, 1999 through December 17, 1999. Contact RAM at 907-586-7202 or 800-304-4846 (option #2) for information about this program.

Federal Scallop Moratorium Permit - Beginning July 1, 1997, vessels participating in Scallop fisheries in Federal waters are required to have a Scallop Moratorium Permit on board in addition to any other State and Federal permits. Contact RAM at 907-586-7202 or 800-304-4846 (option #2) for information about this requirement.

High Seas Fishing Compliance Act - U.S. vessels fishing on the high seas are required to obtain a High Seas Fishing Compliance Act permit. For more information, contact RAM at 907-586-7202 or 800-304-4846 (option #2).

Tanner Crab and King Crab - Beginning January 1, 2000, an LLP License will be required to participate in these BSAI fisheries. State of Alaska area registration serves as the required Federal area registration for catcher vessels.

The American Fisheries Act. This Act requires that vessels and processors wishing to participate in the Bering Sea and Aleutian Islands (BSAI) pollock fishery obtain permits to do so. These permits will be required in addition to any other permits required by Federal and State regulations, and will also limit the take of non-pollock groundfish, crab, and prohibited species as governed by AFA "sideboard" provisions. When authorized, application forms will be made available to the public; permits will be issued by NMFS, Restricted Access Management (RAM) prior to January 1, 2000. For more information, contact RAM at 907-586-7202 or 800-304-4846 (option #2).

State of Alaska Permits - Contact the Commercial Fisheries Entry Commission at 907-789-6160 for information on State of Alaska permits and regulations.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 0.33 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Sustainable Fisheries Division, Alaska Region, National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802 (Attn: Lori Gravel).

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The National Marine Fisheries Service (NMFS) may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is being used to manage the groundfish fisheries of the Gulf of Alaska and Bering Sea/Aleutian Islands; 3) Federal law and regulations require and authorize NMFS to manage commercial fishing effort; 4) Submission of applicant's name is required of all persons seeking to participate in the groundfish fisheries; and 5) Responses to this information request are not confidential, except as required under the Privacy Act.
